


SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. MICO-06C	SERIAL NO. 10/622,207		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				APPLICANT Edwin J. Hlavka et al.			
				FILING DATE 7/18/03		GROUP 3739	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A						
	A.B						
	A.C						
	A.D						
	A.E						
	A.F						
	A.G						
	A.H						
	A.I						
	A.J						
	A.K						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
		DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
W	A.L	WO/03/001893	1/9/03	PCT	—————→		
	A.M						
	A.N						
	A.O						
	A.P						
	A.Q						
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)							
	A.R						
	A.S						
	A.T						
EXAMINER 				DATE CONSIDERED 12/21/04			
EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance <u>and</u> not considered. Include a copy of this form with next communication to applicant.							

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Atty Docket No.	HLAVP001D1
	Application No.:	Unassigned
	Inventor	E. Hlavka et al.
	Group	Unassigned
	Filing Date	Herewith

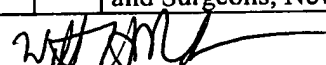
U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- Class	Filing Date
NHM		4,042,979	8/23/77	Angell	3	1.5	7/12/76
		4,055,861	11/1/77	Carpentier et al.	3	1.5	4/9/76
		4,489,446	12/25/84	Reed	3	1.5	7/14/82
		4,917,698	4/17/90	Carpentier et al.	623	2	12/22/88
		5,041,130	8/20/1991	Cosgrove et al.	623	2	
		5,061,277	10/29/91	Carpentier et al.	623	2	9/2/88
		5,104,407	4/14/92	Lam et al.	623	2	9/20/90
		5,201,880	4/13/93	Wright et al.	623	2	1/27/92
		5,306,234	4/26/1994	Johnson	604	49	
		5,306,296	4/26/94	Wright et al.	623	2	8/21/92
		5,360,444	11/1/94	Kusuhara	623	2	12/9/93
		5,450,860	9/19/95	O'Connor	128	898	8/31/93
		5,571,215	11/5/1996	Sterman et al.	623	66	
		5,593,424	1/14/97	Northrup	606	232	8/10/94
		5,607,471	3/4/97	Seguin et al.	623	2	8/21/95
		5,640,955	6/24/97	Ockuly et al.	128	642	2/14/95
		5,669,919	9/23/1997	Sanders et al.	606	148	
		5,674,279	10/7/97	Wright et al.	623	2	6/29/95
		5,682,906	11/4/1997	Sterman et al.	128	898	
		5,716,367	2/10/1998	Koike et al.	606	144	
		5,716,397	2/10/98	Myers	623	2	12/6/96
		5,716,399	2/10/1998	Love	623	2	
		5,776,189	7/7/98	Khalid	623	2	3/5/97
		5,824,066	10/20/98	Gross	623	2	5/21/97
		5,829,447	11/3/1998	Stevens et al.	128	898	
		5,860,920	01/19/1999	McGee et al.	600	374	09/16/1997
		5,868,733	2/9/99	Ockuly et al.	606	10	4/14/95
		5,888,240	3/30/99	Carpentier et al.	623	2	12/3/96
		5,928,224	7/27/1999	Laufer	606	27	
		6,102,945	8/15/2000	Campbell	623	2.37	10/16/98
		6,165,183	12/26/2000	Kuehn et al.	606	139	7/15/98
		6,210,432	4/3/2001	Solem et al.	623	1.15	6/30/99
		6,267,781	7/31/01	Tu	607	113	10/9/99
		6,269,819	8/7/2001	Oz et al.	128	898	
		6,306,133	10/23/01	Tu et al.	606	41	10/2/99
✓		6,312,447	11/6/01	Grimes	606	219	10/13/99

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
24	U	WO 99/00059	1/7/99	WO				
↓	V	WO 00/03759	1/27/00	WO				
	K	WO 00/60995	10/19/2000	WO				

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
24		Zipes, Douglas P. MD, "Ablation of Free Wall Accessory Pathways" 1994 Cather Ablation of Arrhythmias
		http://medtronic.com/cardiac/heartvalves/duran_band/
		Maisano, Francesco et al., "The Double-Orifice Technique as a Standardized Approach to Treat Mitral Regurgitation Due to Severe Myxomatous Disease: Surgical Technique"
		"Anatomical Landscape of Heartport Technology", Heartport Common Stock Prospectus, April 25, 1996, Cardiology Roundtable interviews
		http://www.hsforum.com/vol2/issue2/1999-4963figures.html
		Nagy, Zsolt et al., "Mitral Annuloplasty with a Suture Technique" European Journal of Cardio-Thoracic Surgery 18 (2000) 739-740
		Oz et al., U.S. Patent Application Pub. No. 2001/0005787 entitled, "Method and Apparatus for Circulatory Valve Repair".
		Grimes, U.S. Patent Application Pub. No. 2002/0026216 entitled, "Devices and Methods for Percutaneous Mitral Valve Repair."
		Goldfarb et al., U.S. Patent Application Pub. No. 2002/0013571 entitled, "Methods and Device for Capturing and Fixing Leaflets in Valve Repair".
		Ockuly et al., U.S. Patent Application Publication No. 2002/0026198 entitled, "Guiding Introducer for Use in the Treatment of Accessory Pathways Around the Mitral Valve Using a Retrograde Approach".
		Liddicoat et al., U.S. Patent Application Pub. No. 2002/0042621 entitled, "Automated Annular Plication for Mitral Valve Repair"
↓		Morales, David L.S. et al., "Development of an Off Bypass Mitral Valve Repair" Department of Surgery, Columbia University, College of Physicians and Surgeons, New York, NY
Examiner		
	Date Considered 12-20-04	

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.